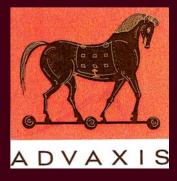
LM-LLO-E7, a Novel Vaccine for the Treatment of Cervical Cancer

> James P. Patton, M.D. Medical Director Advaxis Inc.



Our Team

- Yvonne Paterson, PhD, Scientific Founder; Professor of Microbiology at University of Pennsylvania
- Thorsten Verch, PhD, Senior Scientist; University of Pennsylvania
- Bennett Lorber, MD, Scientific Advisor; Professor of Medicine and Chief, Section of Infectious Diseases, Temple University

Today's Presentation

• Description of our technology

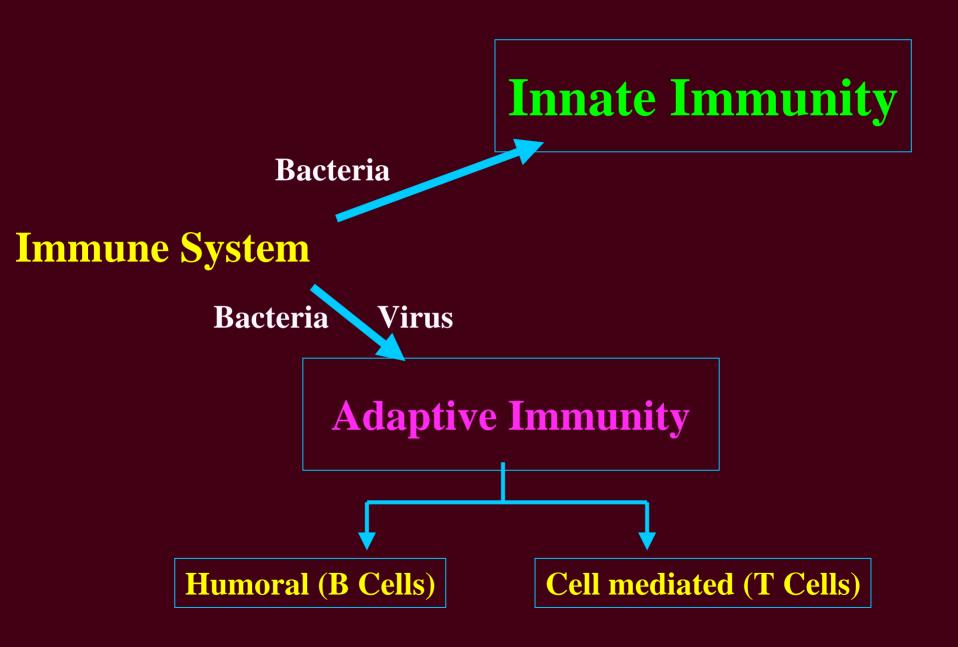
• Data in animal models

• Summary of our proposed clinical trial

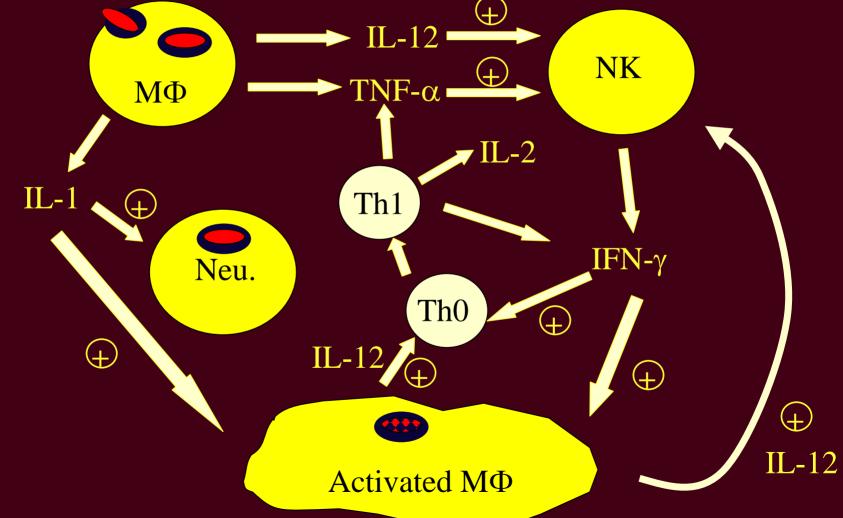
Technology-Concept

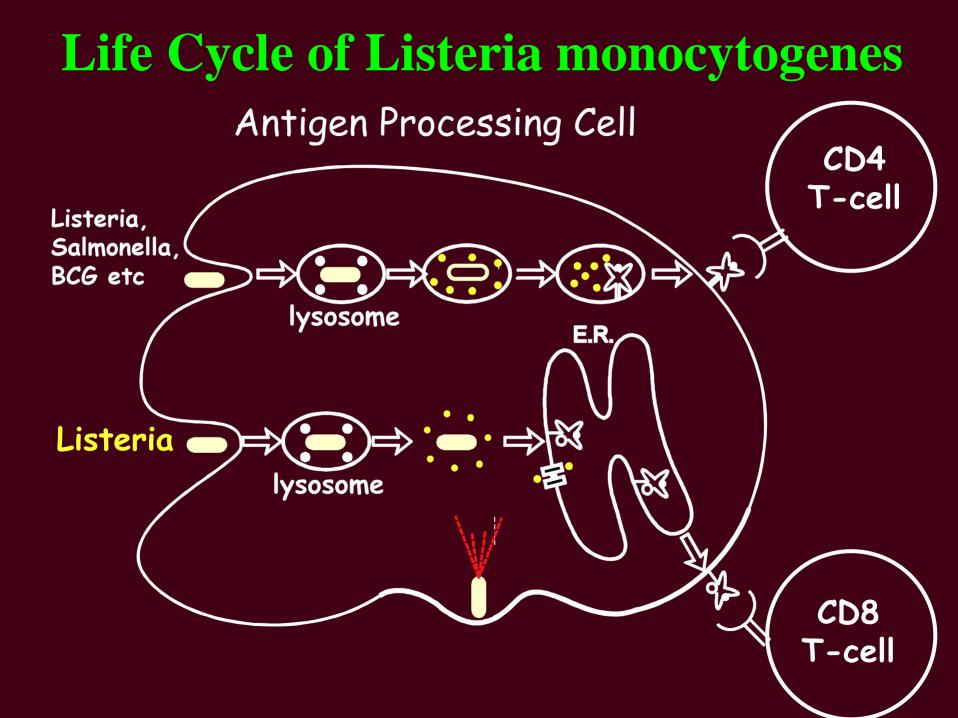
- 1. Clinical cancer is a failure of the immune system to recognize tumor-associated antigens and eliminate neoplastic cells.
- 2. Cell-mediated immunity is the key to the elimination of solid tumors.
- 3. Listeria monocytogenes is an excellent vector to deliver a specific tumor antigen in a way that will stimulate a cell-mediated response.

Why Listeria monocytogenes?

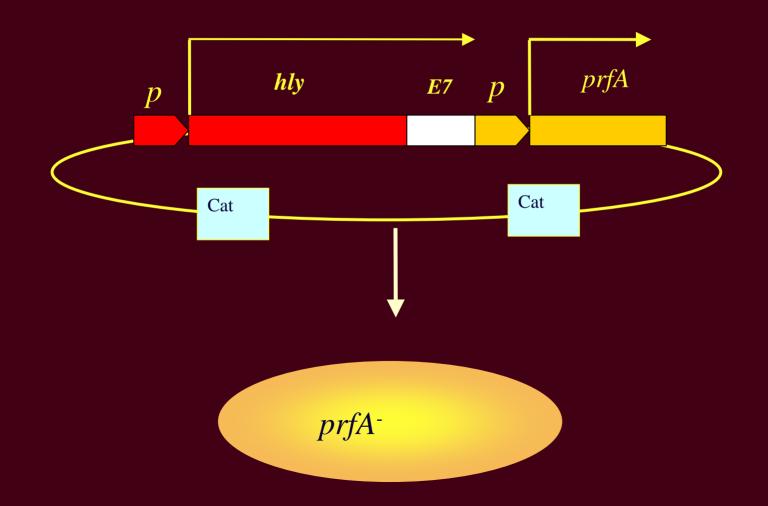


Bacteria induce an inflammatory cytokine cascade early after infection





LM-LLO-E7 Expression System



Listeria monocytogenes as a Delivery Vector

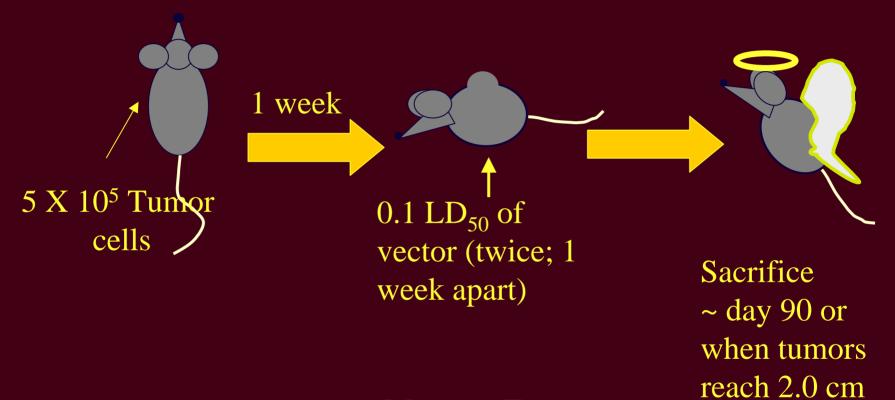
- Turns on innate immunity
- Generates powerful cell-mediated immunity
- Readily engineered to produce antigens
- No gram-negative endotoxins
- Sensitive to antibiotics
- Stable vaccine that may be stored frozen
- Can be grown on completely synthetic media

A Platform Technology

- LM-LLO-E7 is our first application
- This technology can be adapted to other antigens
- Potential value in other cancers and infectious disease

Mouse Data

Tumor Regression Study

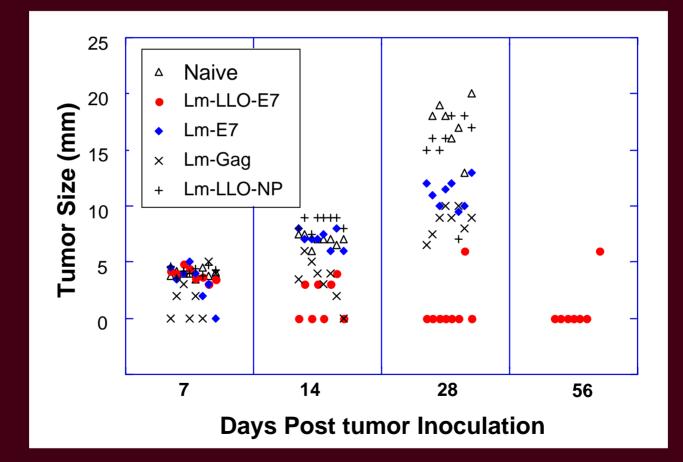


Measure Tumors every 3 days

TC-1 tumor

- C57Bl/6 derived epithelial tumor
- Immortalized with HPV-16 E6 and E7
- Transformed with oncogenic ras
- Expresses E6 and E7 constitutively at low levels <u>similar</u> to human cervical tumors
- Grows rapidly in syngeneic mice compared other HPV-immortalized tumors
- Used extensively to test therapeutics for HPV transformed tumors.

LM-LLO-E7 induces regression of established TC-1 tumors



Clinical Protocol

• We have a vector that generates significant anti-tumor activity in animal models of cervical cancer.

• Next step is human clinical testing.

Human Papillomavirus and Cancer

- >95% of cervical cancers are associated with HPV
- 2nd leading cause of cancer death for women world-wide
- About 5,000 deaths p.a. of cervical cancer in the USA
- HPV strains 16 and 18 most commonly linked to cancer
- HPV E6 and E7 gene products immortalize cells
- E6 and E7 are constitutively expressed in HPV-associated tumors
- 25% head & neck cancers express HPV antigens; may also be expressed in lung, prostate and other tumors.

Protocol-Outline

- Give an attenuated vector to patients with advanced cervical cancer under inpatient hospital evaluation.
- Monitor for adverse events, treat promptly as indicated.
- Incrementally increase dose as tolerated.
- Purpose is to determine the safety and immunogenicity of the vector in a patient population.

Patient Population

- Adult women with stage III or stage IV disease who have failed standard therapy
- Must be HPV-16 positive
- Must be 4 weeks or more out from last chemotherapy or radiation
- Exclusions: steroid use, active brain mets, valvular disease, bone marrow or renal insufficiency, anergy, pregnancy, severe debilitation, HIV

Clinical Protocol Summary

- 20 patients in 4 dose cohorts
- Dose escalation based on tolerance in lower cohort
- Day 1: admit to hospital, vaccination
- Day 5: 1 dose IV ampicillin and discharge on 10 days of oral ampicillin
- Return on Day 21 for second dose, repeat above

Clinical Protocol Endpoints

- Safety
- Immunogenicity
- Clinical response

Is it safe to give Listeria to Cancer Patients?

- Exclusion of many high risk people
- Initial dose is equal to the lowest dose used in the rhesus study
- Attenuation: engineered bacteria has LD₅₀ in mice about 10³ times wild-type
- Patients will be monitored in hospital
- The bacteria is sensitive to ampicillin

Last Slide

1. Plasmid Transfer

Methods

- Mix LM-LLO-E7 with E. coli in vitro
- Grow in a high concentration chloramphenicol media
- Every two days, sample the mixture and culture on media specific for E. coli with chloramphenicol resistance

Results

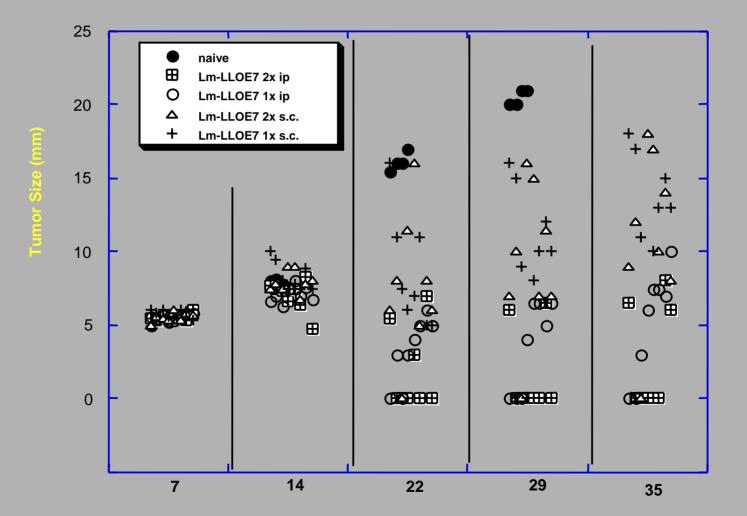
Day	Results
1	no growth
3	no growth
5	no growth
7	no growth
9	no growth
11	E. coli

Conclusions

- Unrealistically high concentrations of bacteria (iv route)
- Full chloramphenicol pressure
- Still took over 9 days to push plasmid into E. coli (antibiotics at day 5)

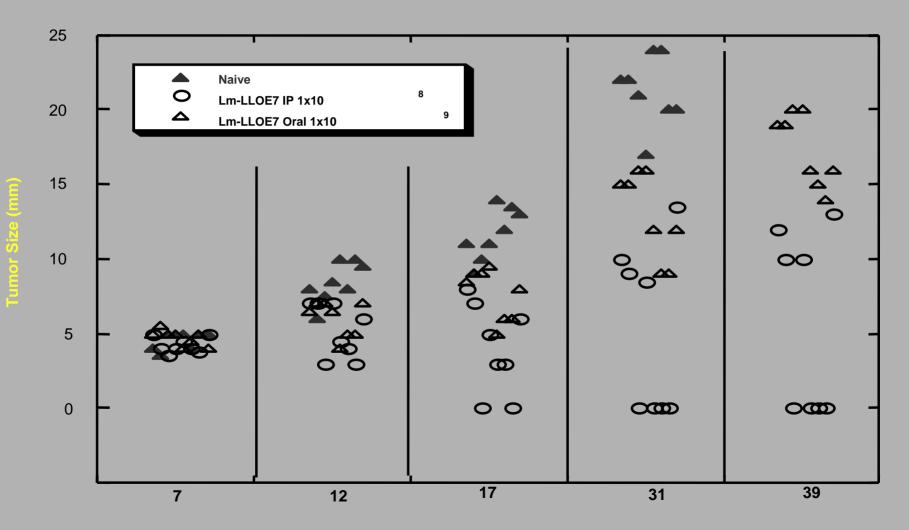
2. Route of Administration

IP compared to SC administration

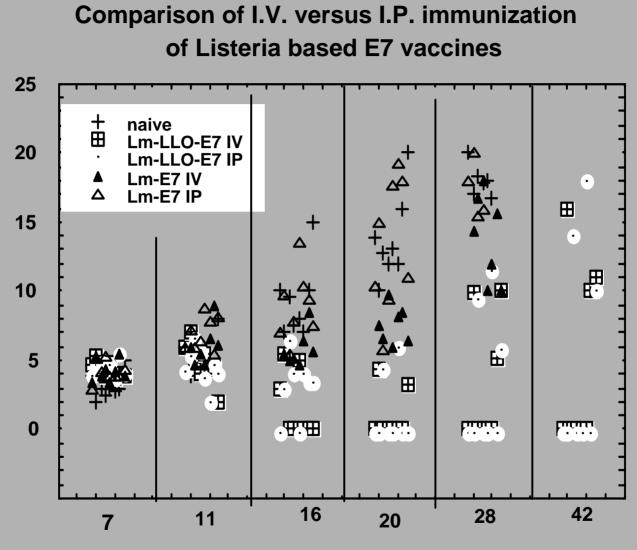


Days Post Tumor Inoculation

IP compared to oral administration



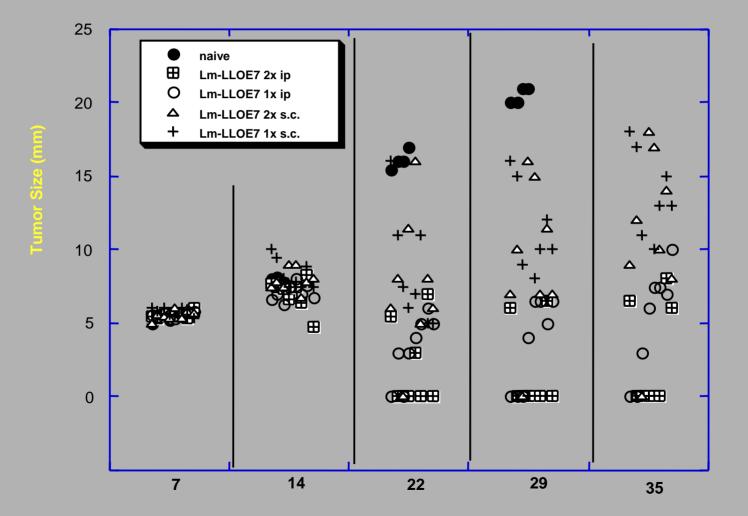
Days Post Tumor Inoculation



Days Post Tumor Inoculation

3. Dose Schedule

One vs Two doses of LM-LLO-E7



Days Post Tumor Inoculation

4. Planned Studies

Biodistribution in Mice

- Dose route: iv and sc
- Check a cohort at days 2, 10, 20, 90
- Check blood, liver, spleen, brain, nodes, kidney, intestine, ovaries, injection site, bone marrow
- Use PCR to look for plasmid DNA

Acute Dose Toxicity

- Determine MTD in wild-type Listeria and LM-LLO-E7
- Compare iv and sc

Longer Term Toxicity in Mice

- 3 dose levels each for iv and sc
- Dose on days 1, 8, 15, and 22
- Monitor response and sacrifice on day 23 with full necropsy

Monkey dosing study

- Cynomolgus monkeys will receive LM-LLO-E7 intravenously
- Dose up to 10^{12} cfu iv
- Follow clinically and with blood tests

5. Dose Levels

Dose

- Starting dose 10⁹ cfu i.v. with LM-LO-E7
- 10¹⁰ LM-SIV i.v. tolerated in rhesus macaques with vector 2 logs more virulent
- Human study: 10⁹ tolerated p.o. with vector
 1.5 logs more virulent
- Further studies planned

6. Misc

Karnofsky Scale

100 Normal, no complaints, no evidence of disease

90 Able to carry on normal activity: minor symptoms of disease

80 Normal activity with effort: some symptoms of disease

70 Cares for self: unable to carry on normal activity or active work

60 Requires occasional assistance but is able to care for needs

50 Requires considerable assistance and frequent medical care

40 Disabled: requires special care and assistance

30 Severely disabled: hospitalization is indicated, death not imminent

20 Very sick, hospitalization necessary: active treatment necessary

10 Moribund, fatal processes progressing rapidly