

# - AC<sub>VI</sub> Gene Transfer for CHF -

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- **Rationale**
- **Preclinical Data**
- **Proposed Clinical Trial**

**H. Kirk Hammond, M.D.**  
**Professor of Medicine**  
**University of California San Diego**  
**VA San Diego Healthcare System**



# - AC<sub>VI</sub> Gene Transfer for CHF -

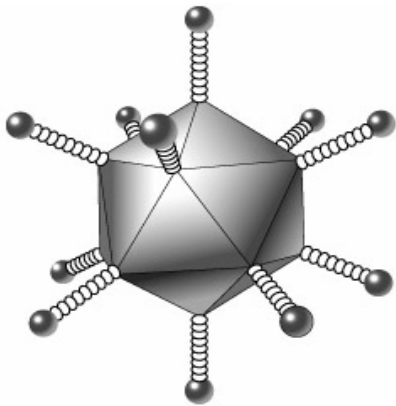
## *Unmet Medical Need, Reduced Morbidity*

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### Intracoronary Delivery



- **Prevalent disease — most common non-elective admitting diagnosis in patients >60 years old**
- **Current therapy — 40% mortality 3 yr after onset of Class III/IV symptoms**
- **Current therapy has substantial side-effects**
- **No thoracotomy — reduced morbidity & mortality vs strategies that require invasive procedures**
- **New strategy would provide an option for patients not suited for CABG, PCI or other therapies**

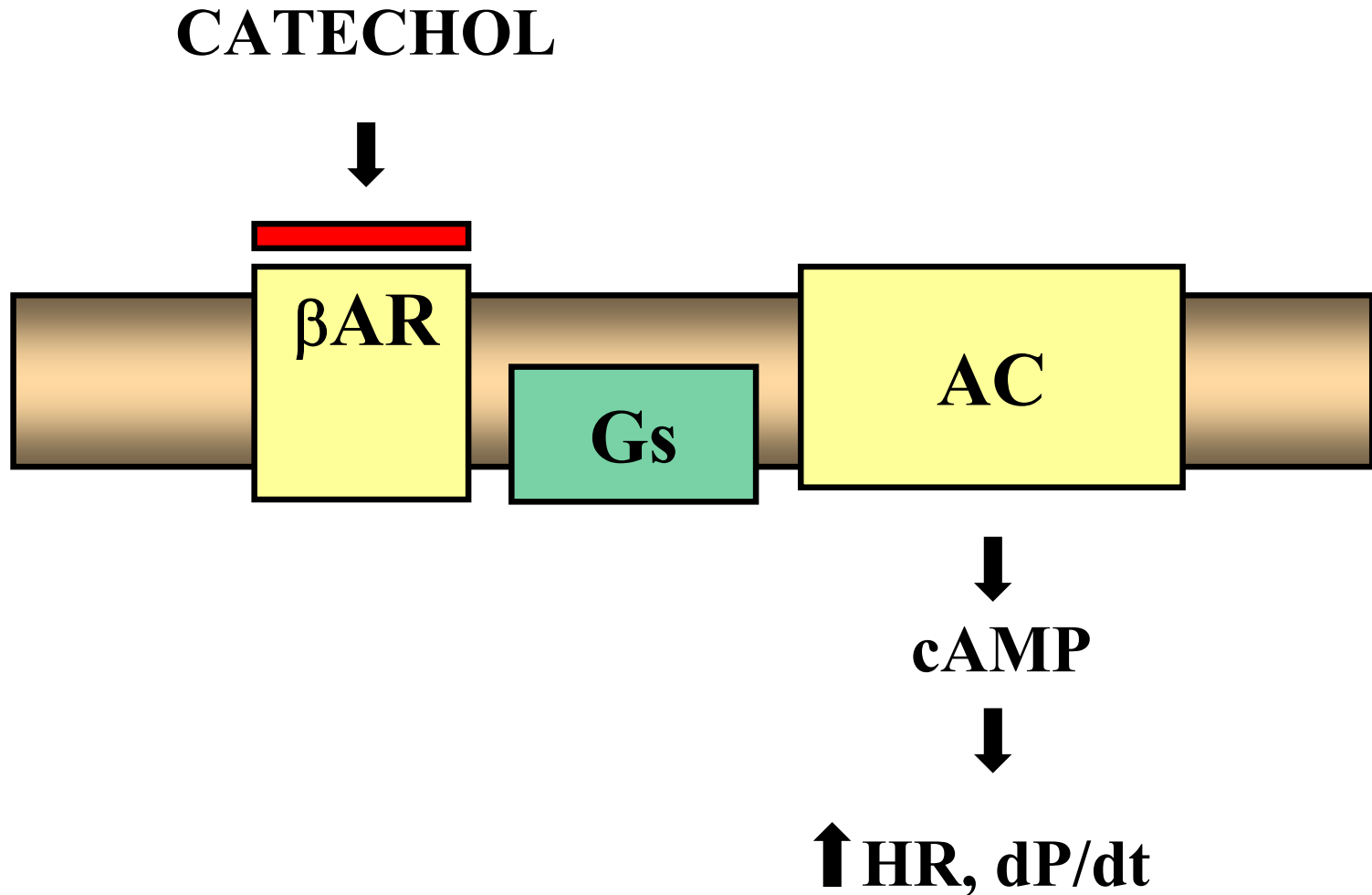


### Adenovirus Encoding AC<sub>VI</sub>

# - Heart Failure -

*Can we safely increase LV contractility ?*

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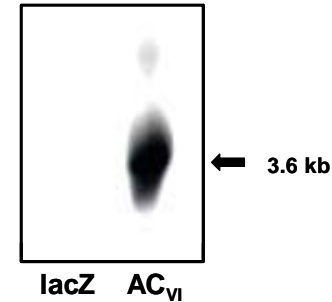
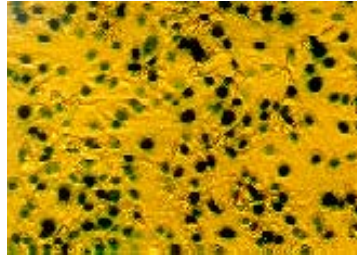


# - AC<sub>VI</sub> Gene Transfer - *Neonatal Rat Cardiac Myocytes*

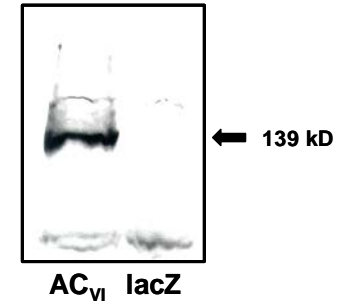
## - *Stoichiometry* -

$\beta$ AR : G<sub>s</sub> : AC

1 : 200 : 3



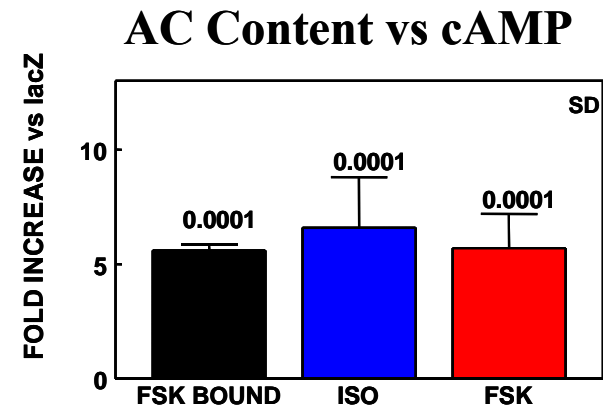
Northern



Western

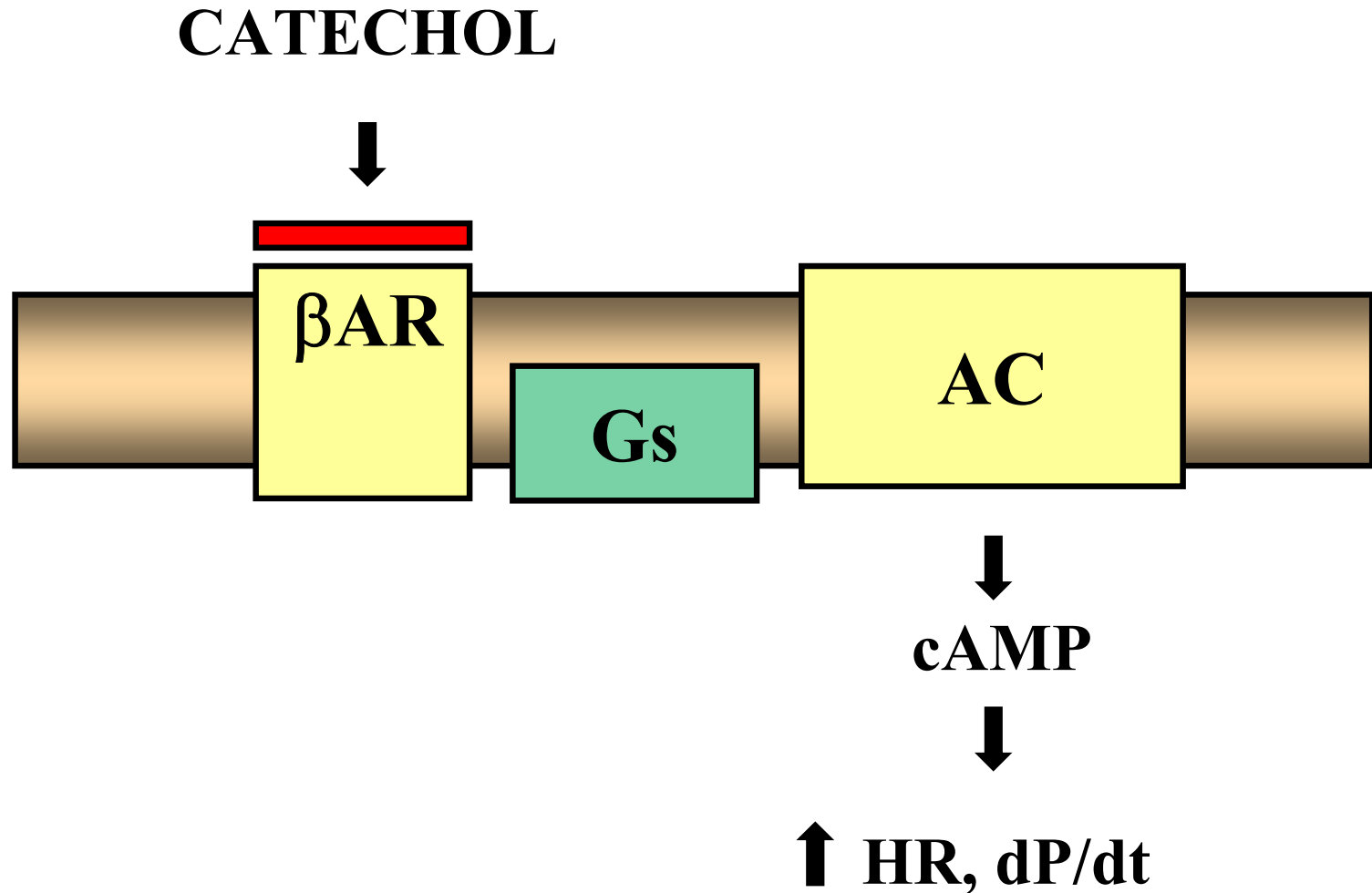
## *Hypothesis*

AC content limits cardiac  
myocyte adrenergic  
responsiveness

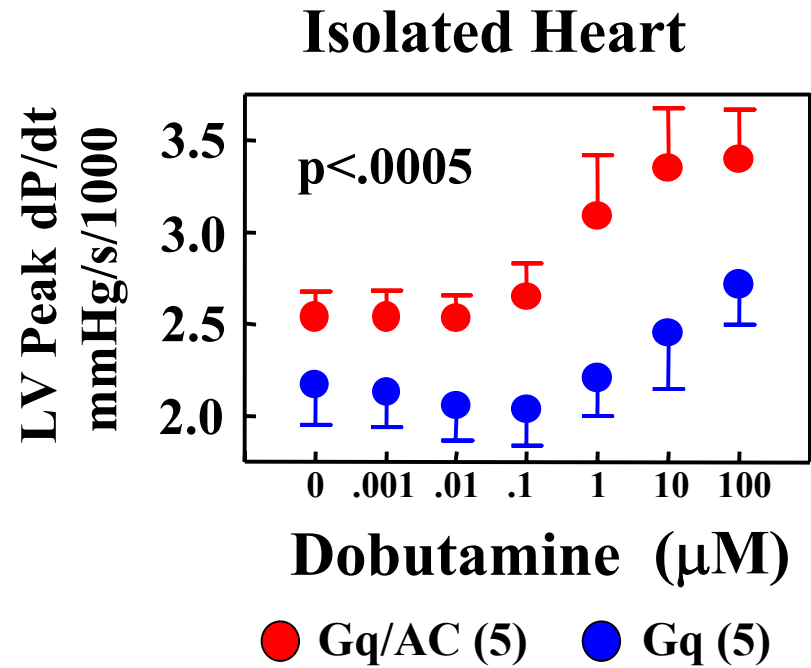
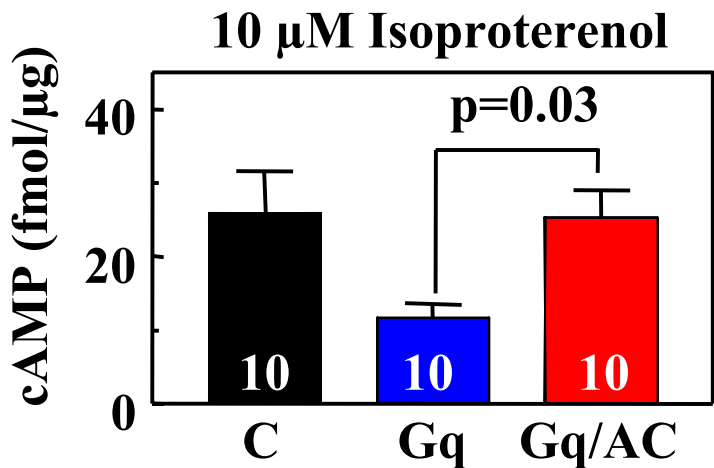
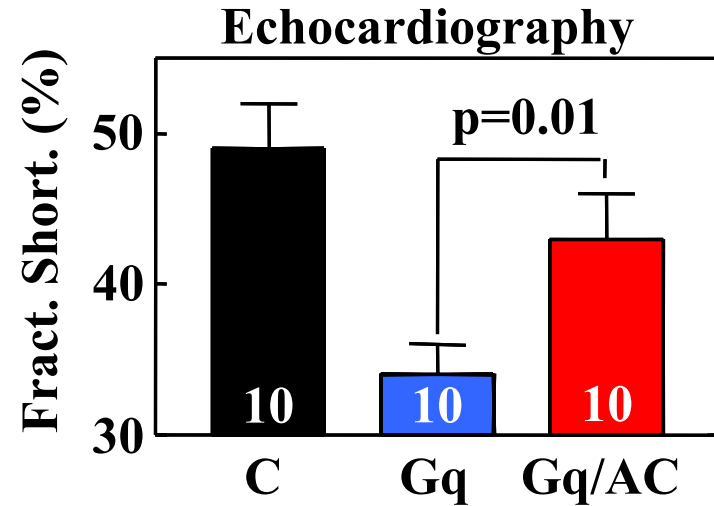


# - Cardiac-Directed $AC_{VI}$ Expression - *Murine Cardiomyopathy*

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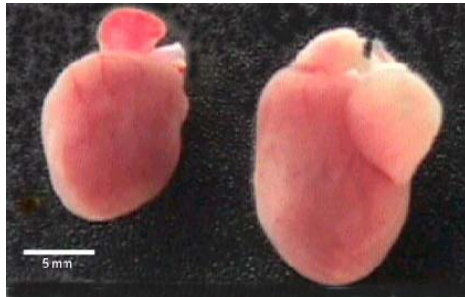
# - $AC_{VI}$ in Cardiomyopathy - *LV Function and cAMP*



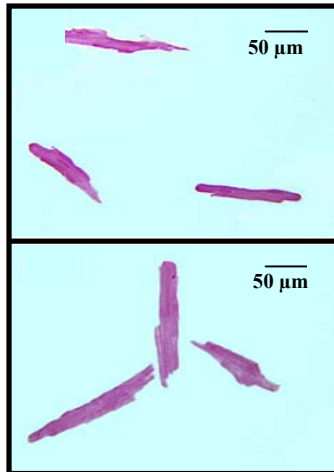
- *Circulation* 99: 3099-3102, 1999

# - AC<sub>VI</sub> in Cardiomyopathy - *Effect on Hypertrophy & Mortality*

$p < 0.004$



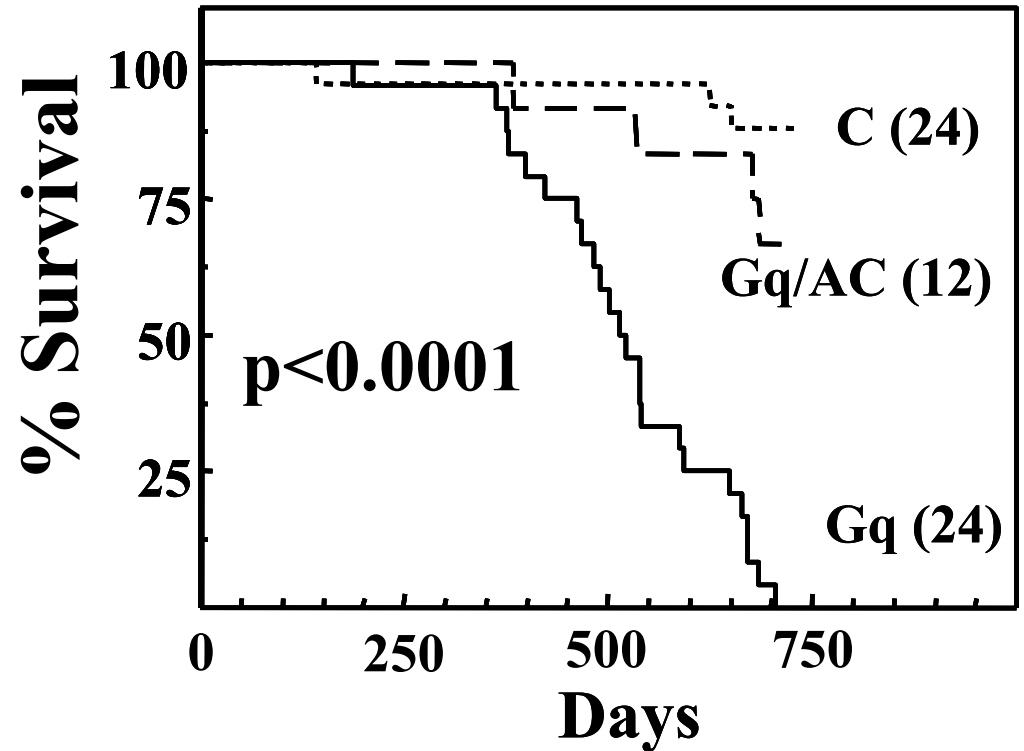
**Gq/AC**      **Gq**



**Gq/AC**

**Gq**

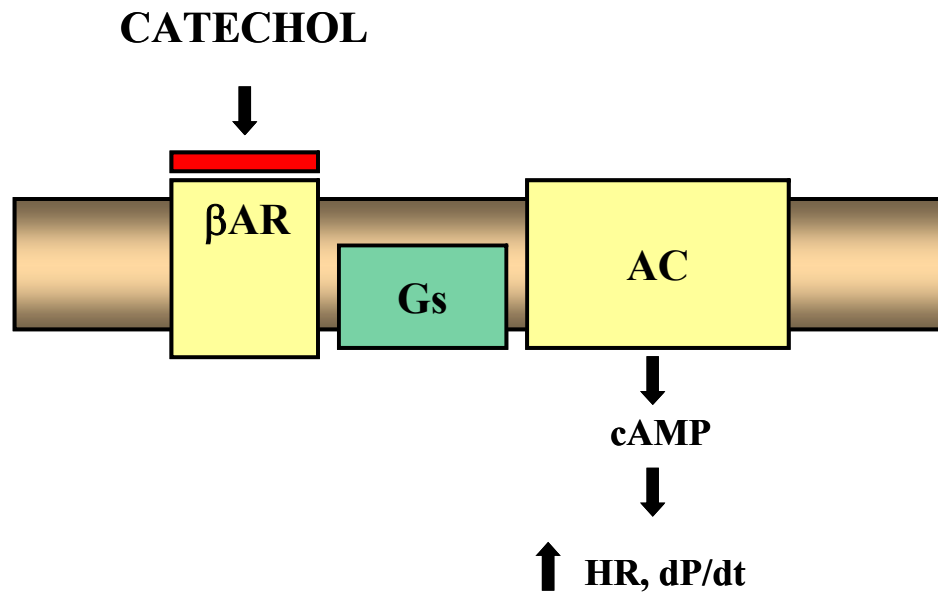
$p < 0.0075$



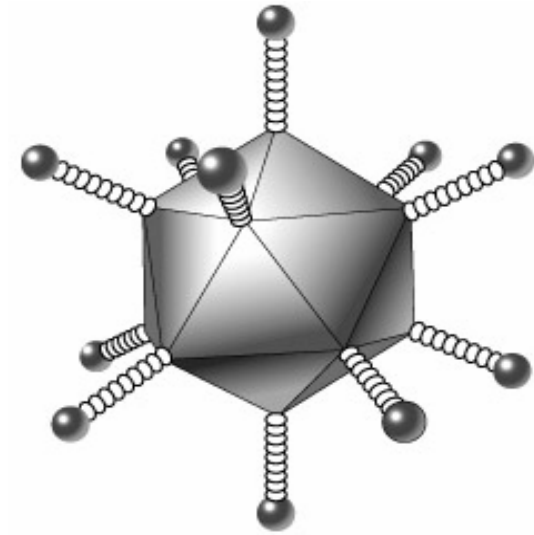
- *Circulation* 105: 1989-1994, 2002

# - Intracoronary Gene Transfer - *Adenovirus Encoding $AC_{VI}$ in Pigs with CHF*

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*$AC_{VI}$  Gene Transfer*



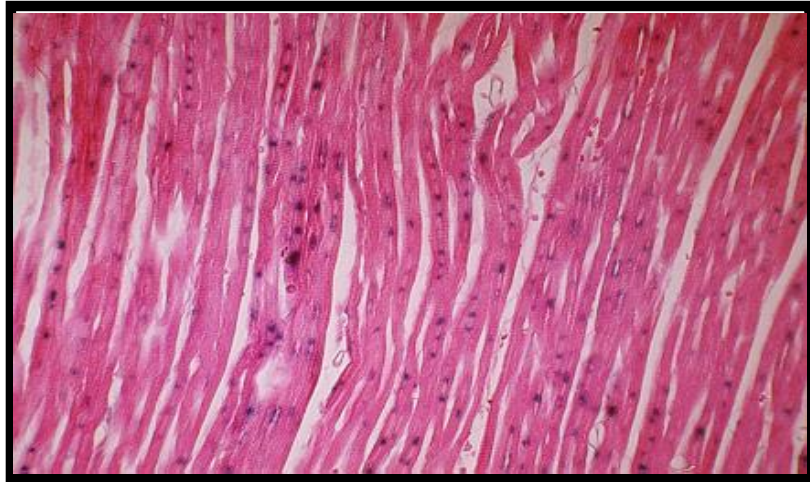
*Adenovirus Vector*



# *- Intracoronary Delivery of Adenovirus -*

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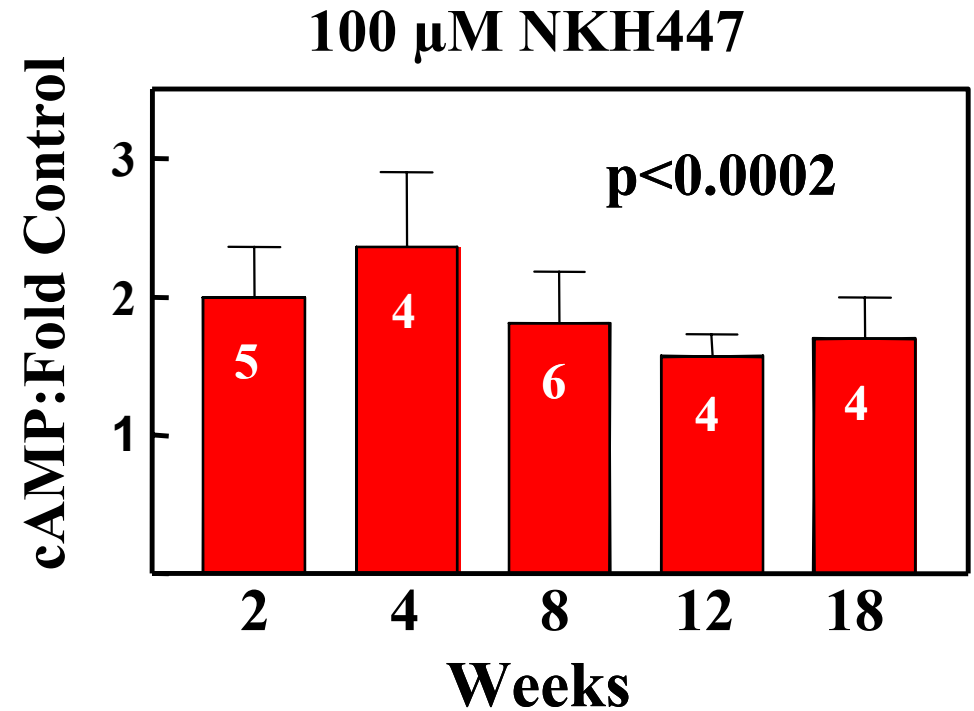
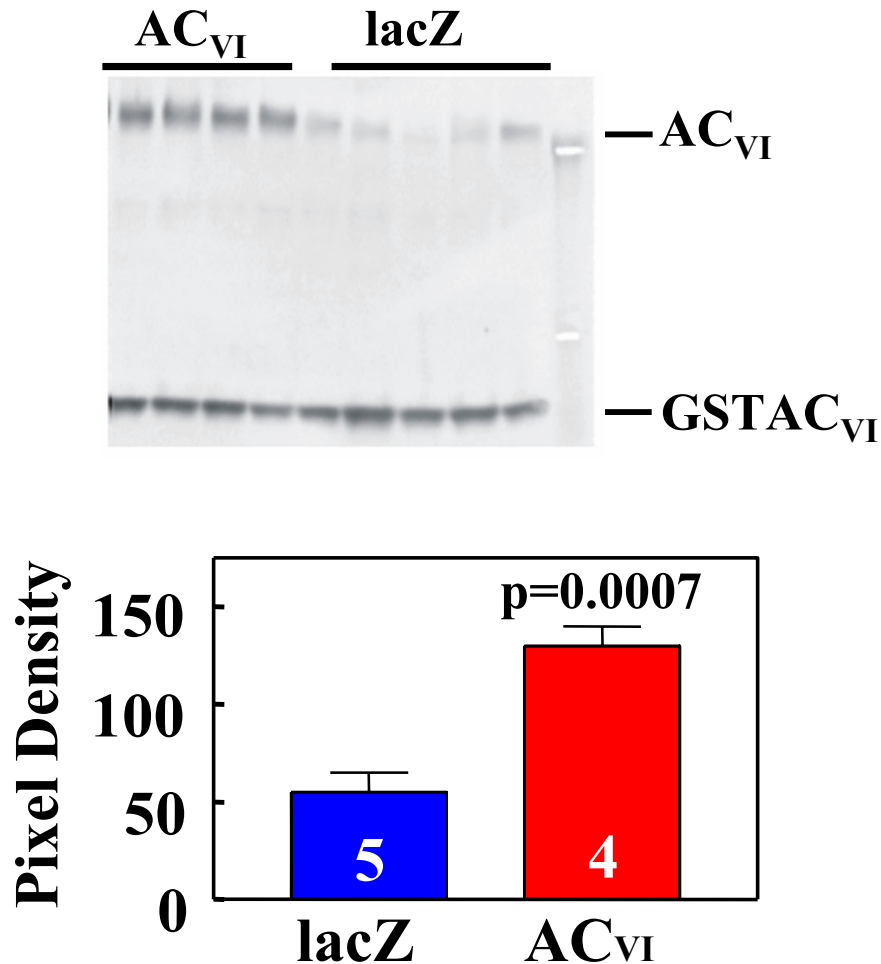
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1.4 x 10<sup>12</sup> vp adenovirus encoding nuclear-tagged lacZ. Five days after coronary delivery, substantial gene transfer was seen in the heart, without inflammation. Blue color indicates gene transfer. LV Transmural sections. Left panel, 30X; Right Panel, 200X.

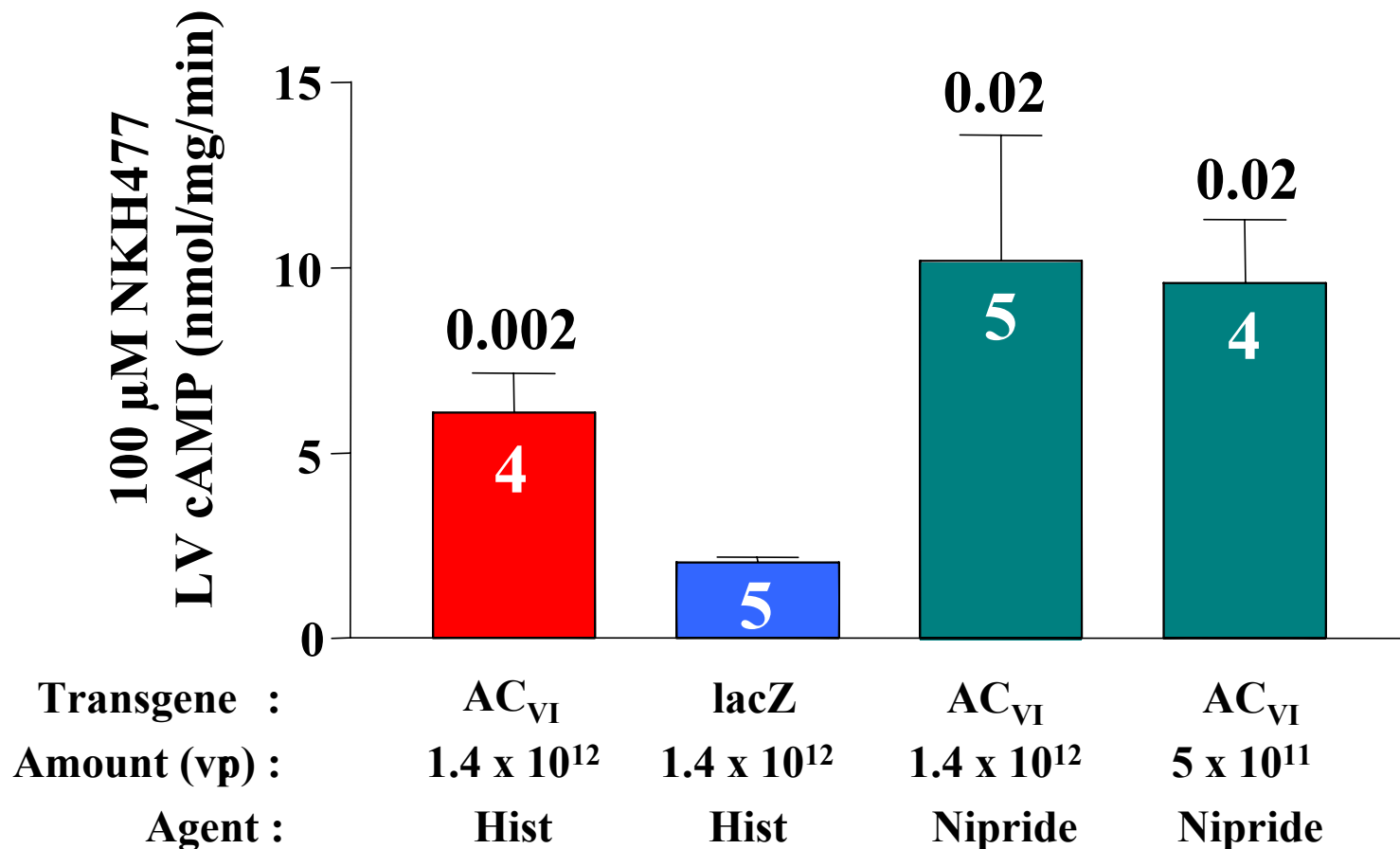
*- Circulation 102: 2396-2401, 2000*



# - Intracoronary Ad.AC<sub>VI</sub> - *LV AC<sub>VI</sub> Expression & Duration of Effect*



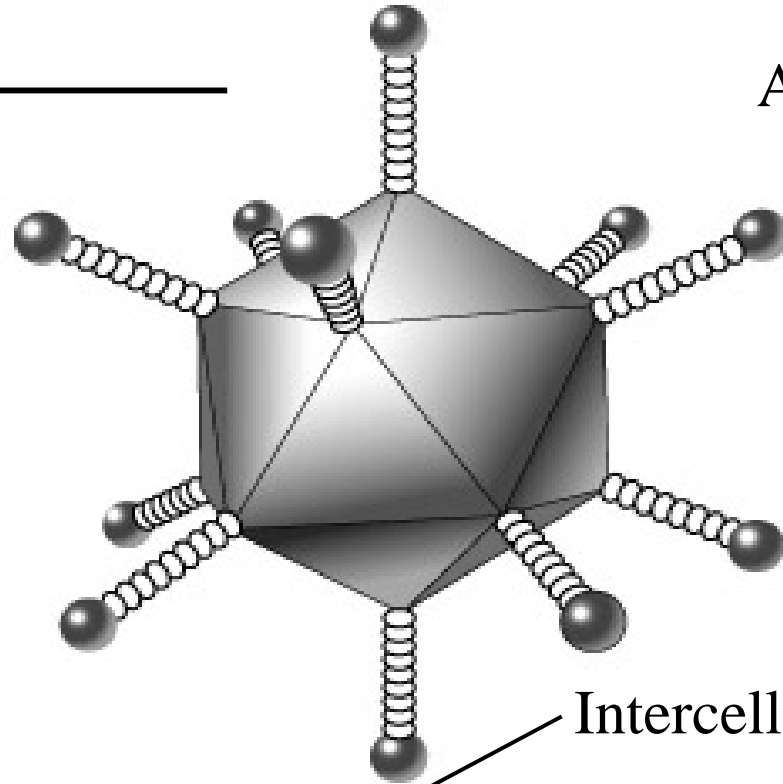
✦ - **Intracoronary Delivery of Adenovirus -**  
*Effect of Nitroprusside*





# - Intracoronary Gene Transfer - *Transcytosis of Adenovirus ?*

Adenovirus = 80 nm



Intercellular gap = 5 nm

Luminal Side

**Endothelial Cell**

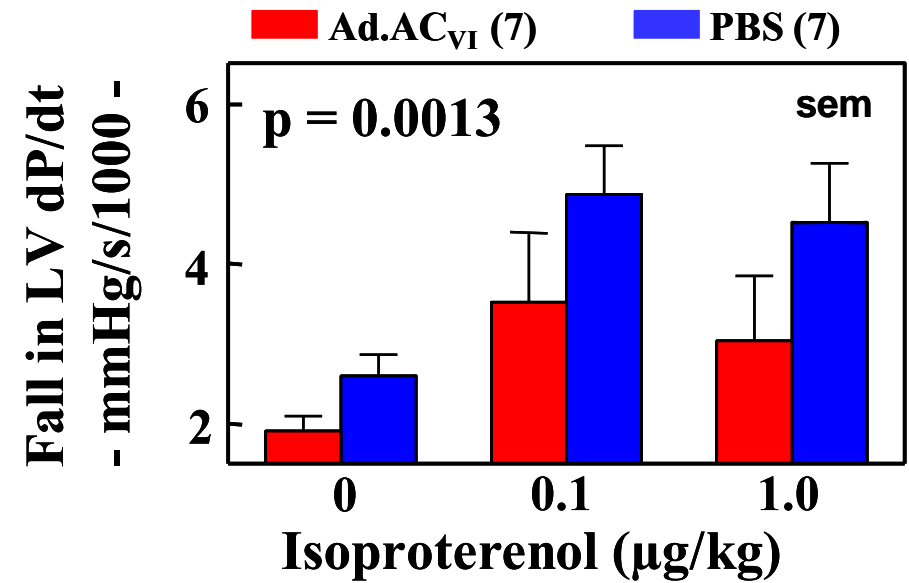
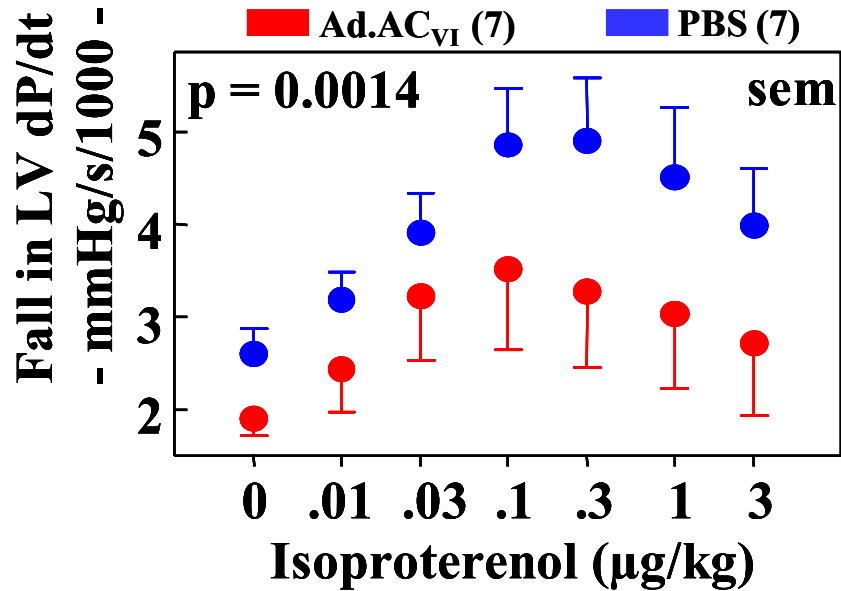
**Endothelial Cell**



# - Intracoronary Ad.AC<sub>VI</sub> in CHF -

## *Increased Contractility 14d after Gene Transfer*

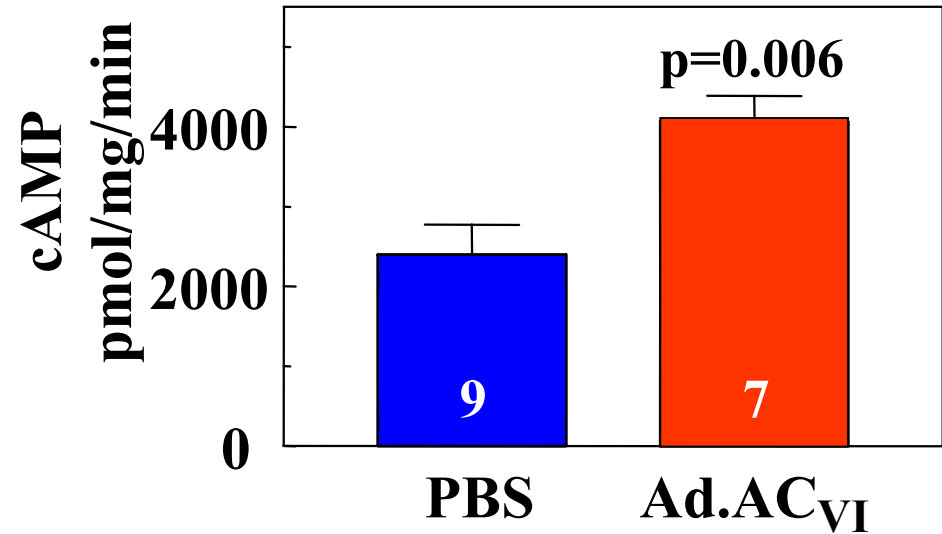
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# - Ad.AC<sub>VI</sub> in Pacing-Induced CHF in Pigs - *Improved LV Geometry, Function and cAMP Generation*

Pre vs 21d	PBS n=9	AC <sub>VI</sub> n=6	p
EDD Increase - mm -	18±2	13±2	0.04
FS Decrease - % unit -	29±2	23±3	0.03
Vcf Decrease - circ/s -	1.1±0.1	0.7±0.1	0.008



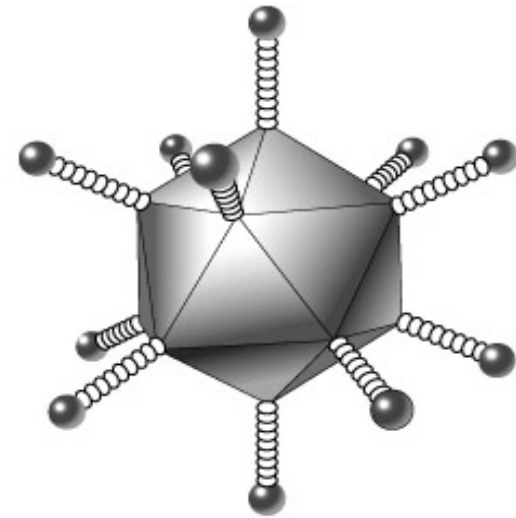
- Data obtained before and after 21d of continuous LV pacing
- Gene transfer on Day 7 (CHF present)
- Blinded study

# - $AC_{VI}$ Gene Transfer for CHF - *Clinical Trial Design*

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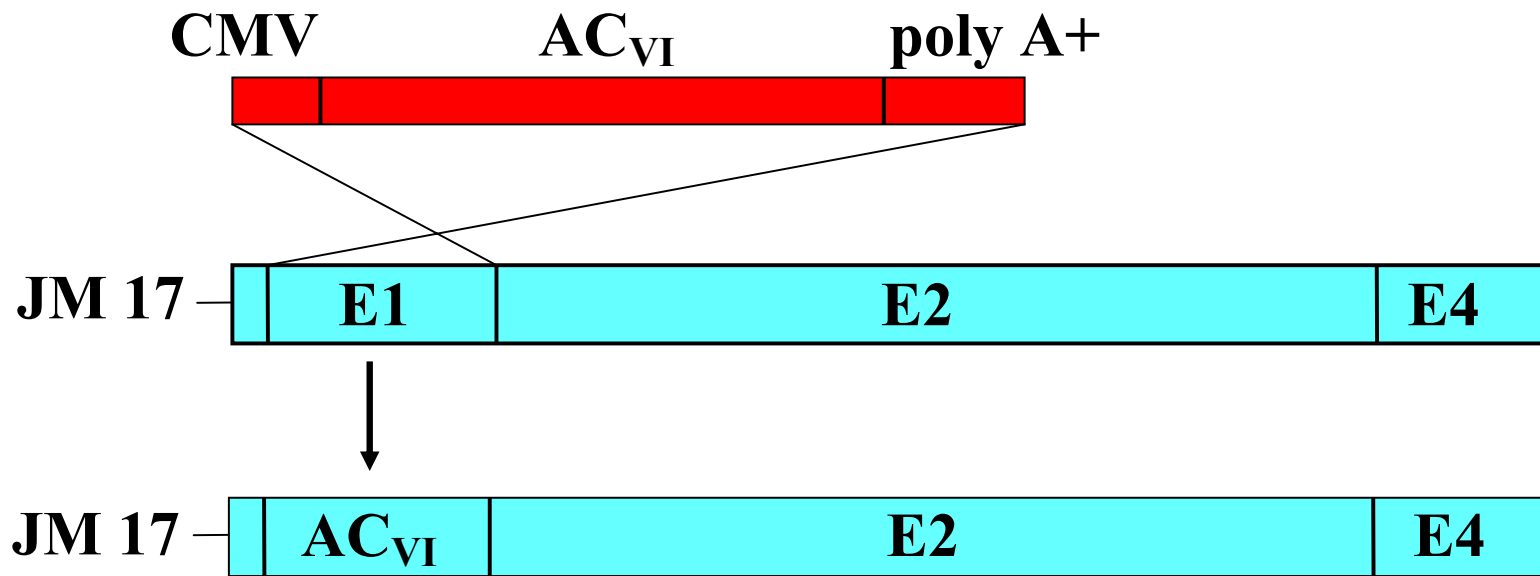
**Intracoronary Delivery**



**Adenovirus Encoding  
Human  $AC_{VI}$**

# - Recombinant Adenovirus Encoding AC<sub>VI</sub> -

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**E1/E3- Deleted Recombinant  
Adenovirus Encoding Human AC<sub>VI</sub>**



# - Gene Transfer for CHF -

## *Intracoronary Ad5.AC<sub>VI</sub>*

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### Objective

*Examine safety and identify dose(s) that warrant further study in larger trials*

### End Point

*6 minute walk test before and 4 and 12 weeks after treatment*

### Follow-Up

*Careful monitoring, visits for 12 weeks, interviews at 6 and 12 months*



# - AC<sub>VI</sub> Gene Transfer for CHF -

## *Enrollment And Exclusions*

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### *Enrollment*

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- **Stable CHF, Class III/IV**
- **≥ 1 yr history of CHF**
- **LVEF ≤35%**
- **6-min walk: 25–300 meters**
- **21-75 years of age**

### *Exclusions*

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- **Decompensated CHF, iv inotropes**
- **CAD: Severe 3 vessel or LM**
- **Angina ≥ Class 2 or unstable**
- **Immune suppressive agents**
- **Creatinine >2.5 mg/dL**
- **Liver disease**
- **VT/VF with syncope unless AICD**
- **Women of child-bearing potential**

# **- AC<sub>VI</sub> Gene Transfer for CHF -** *Protocol*

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**Double-blind, 3:1 randomization (Ad5.AC<sub>VI</sub> : Placebo)**

**Intracoronary infusion (40% RCA, 60% LCA) with  
intracoronary nitroprusside (50 µg/min, 3 min)**

**5 Doses @ 0.5 log increments: 3 x 10<sup>9</sup> to 3 x 10<sup>11</sup> vp**

**In-hospital observation (overnight)**

**Follow-up (Weeks 1, 2, 4, 8, 12; Months 6, 12)**

**Exercise testing (Weeks 4 and 12)**



# Safety Monitoring

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## **Adenovirus Surveillance**

- **Pulmonary artery (during administration)**
- **Venous blood (1 hr after administration)**
- **Urine (first 6 hours after administration)**
- **Antibody titers (baseline and 12 weeks)**

## **Holter Monitoring (baseline and Week 2)**

## **PE, ECG & Blood Samples (Weeks 1,2,4,12; Months 6,12)**

- **Liver function (SGOT, SGPT, LDH, alkaline phosphatase, bilirubin, albumin)**
- **Cardiac (CPK, CPK-MB, Troponin)**
- **CBC, electrolytes, BUN, creatinine, urinalysis**
- **BNP, norepinephrine, epinephrine**

# - AC<sub>VI</sub> Gene Transfer for CHF -

## *Clinical Testing & Procedures*

	Screen	D 1	W 1	W 2	W 4	W 12	M 6	M 12
<b>6 Minute Walk Test</b>	<b>XX</b>				<b>X</b>	<b>X</b>		
<b>Interview</b>	<b>X</b>	<b>X</b>	<b>X</b>	<b>X</b>	<b>X</b>	<b>X</b>	<b>X</b>	<b>X</b>
<b>Physical Examination</b>	<b>X</b>	<b>X</b>	<b>X</b>	<b>X</b>	<b>X</b>	<b>X</b>	<b>X</b>	<b>X</b>
<b>Urine Sample</b>	<b>X</b>		<b>X</b>	<b>X</b>	<b>X</b>	<b>X</b>		
<b>Blood Sample</b>	<b>X</b>	<b>X</b>	<b>X</b>	<b>X</b>	<b>X</b>	<b>X</b>	<b>X</b>	<b>X</b>
<b>Chest Radiogram</b>	<b>X</b>					<b>X</b>		
<b>ECG</b>	<b>X</b>	<b>X</b>	<b>X</b>	<b>X</b>	<b>X</b>	<b>X</b>		
<b>24 Hour Holter</b>	<b>X</b>	<b>X*</b>		<b>X</b>				
<b>Echocardiogram</b>	<b>X</b>				<b>X</b>	<b>X</b>		
<b>Coronary Angiogram</b>		<b>X</b>						
<b>Ad5.AC<sub>VI</sub> or PBS</b>		<b>X</b>						
<b>Right Heart Catheterization</b>		<b>X</b>			<b>X</b>			

# ★ Dose Escalation, Termination of Trial

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- **The NHLBI DSMB will monitor the trial & manage randomization; members periodically will be unblinded to evaluate safety & efficacy.**
- **Adverse events will be reported to the DSMB and other agencies.**
- **The DSMB will make decisions regarding advancing to the next dose or ending the trial due to toxicity.**
- **The DSMB may ask that additional patients be recruited in a dose-group to evaluate toxicity or efficacy (limit 16 patients: 12 Ad5.AC<sub>VI</sub>, 4 placebo).**
- **Otherwise, the study will be completed as proposed.**



# - AC<sub>VI</sub> Gene Transfer for CHF -

## *Dose Groups and Potential Outcome*

<i>Dose Group</i>	<i>vp</i>	<i>Ad5.AC<sub>VI</sub></i> <i>- n -</i>	<i>Placebo</i> <i>- n -</i>
<i>1</i>	$3.2 \times 10^9$	3	1
<i>2</i>	$10^{10}$	3	1
<i>3</i>	$3.2 \times 10^{10}$	9	3
<i>4</i>	$10^{11}$	9	3
<i>5</i>	$3.2 \times 10^{11}$	21 (9+12)	7 (3+4)
<i>Dose 5 Comparison</i>		21	15
<i>Total Patients</i>		45	15

- Placebo group is proposed
- 15 patients will receive placebo and 45 will receive Ad5.AC<sub>VI</sub>
- DSMB may recruit additional patients in groups of 8 (6:2) if trend for efficacy seen
- In example, 16 additional patients recruited in Dose Group 5
- Comparison: 21@  $3.2 \times 10^{11}$  vs 15 Placebo



# **- Placebo Group -**

## ***Ethical Considerations***

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- **Data (safety & efficacy) will be interpretable only if collected & analyzed in a double-blinded manner.**
- **Coronary angiography is recommended for patients with CHF. Whether patients enroll in the trial or not, they should undergo coronary angiography.**
- **The consent form indicates patients will undergo coronary angiography with a 1 in 4 chance of receiving placebo. The risks are clearly presented — no duress will be placed on patients to enroll.**

***Helsinki Declaration — “Biomedical research involving human subjects must conform to generally accepted scientific principles...”***



# Funding

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- **National Institutes of Health (NHLBI PEGT)**
- **Department of Veteran's Affairs**
- **American Heart Association**

# Contributors

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**N. Chin Lai**

**Mei Hua Gao**

**David M. Roth**

**Tong Tang**

**Jeff Drumm**

**Matthew Spellman**

**Tracy Guo**

**David A. Roth**

**Suzy Fine**